BREAKAWAY WEALTH MANAGEMENT

YOUR TIME.
YOUR MONEY.
YOUR FUTURE.

ADVISOR GROWTH INFO KIT

BREAKAWAY
WEALTH MANAGEMENT

Breakaway with Your Ideal Independent Practice

At Breakaway Wealth, we help growth-minded advisors gain independence without sacrificing support. This kit outlines what you can expect as you grow your practice with us: from operations and compliance to client service and investment flexibility.

OUR VALUE PROPOSITION FOR GROWING ADVISORS

Whether you're breaking away from a wirehouse or elevating your existing independent practice, we provide:

- Full operational infrastructure so you can focus on clients
- Compliance partnership to protect your business
- Portfolio management and investment flexibility
- Client service team that treats your clients as you do
- Brand, marketing, and growth support
- A dedicated transition manager for seamless onboarding
- Full ownership of your client relationships

Breakaway is independence with support — the best of both worlds.

WHY ADVISORS CHOOSE BREAKAWAY WEALTH

Operational Relief

Stop drowning in back-office tasks. We take on the administrative load: trading, paperwork, client onboarding coordination, scheduling, and more.

Compliance Support

Our compliance team provides:

- Advertising reviews
- Supervisory oversight
- Regulatory guidance
- Audit preparation

Your practice remains protected and aware of evolving requirements.

Investment Platform Flexibility

You can use:

- Your own models
- Our models
- Third-party research
- A custom blend

You keep your investment philosophy.

Client Service Team

Your clients receive high-touch support including:

- Scheduling
- Follow-ups
- Document prep
- Account maintenance
- Client communications

TECHNOLOGY STACK OVERVIEW

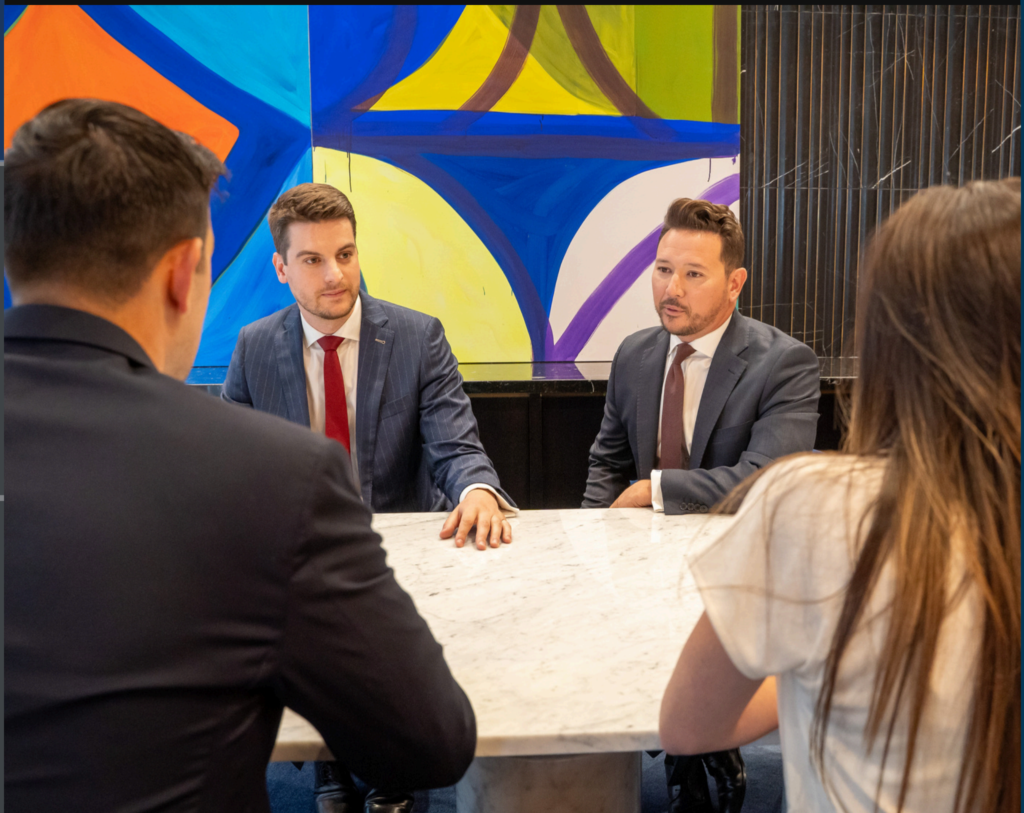
Your kit includes access to:

- ClientWorks: single workstation that services all accounts and helps manage practice with confidence.
- CRM system
- Financial planning software: Money Guide Pro
- Portfolio management + reporting
- Secure client portal
- Document vault
- E-signature
- Custodial integrations

All setup is handled by our transition team.

TRANSITION PROCESS

(Step-by-Step)



1. Discovery + Practice Mapping

We map your current book, team structure, and operational needs.

2. Pre-Launch Setup

We prepare tech, workflows, custodian accounts, and marketing.

3. Launch + Client Communication

We guide communication to clients in a clear, compliant way.

4. Ongoing Partnership

Once your practice is running smoothly, we shift to long-term support and growth enablement.

BREAKAWAY
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NEXT STEP:
EXPLORE THE GROWTH PATH

SPEAK CONFIDENTIALLY WITH OUR TEAM

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breakawaywealth.com